



L. Parks  
L-4-02  
#3/TDS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Bardy

Serial No.: 10/042,402

Filed: 1/7/2002

Title: System And Method For Providing  
Diagnosis And Monitoring Of  
Congestive Heart Failure For Use In  
Automated Patient Care

Attorney Docket No.: 003.0254.01

Group Art Unit:  
3762  
Examiner:  
Unassigned

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TECHNOLOGY CENTER R3700

Commissioner for Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

This Information Disclosure Statement is submitted:

under 37 CFR 1.97(b), or

(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)

under 37 CFR 1.97(c) together with either a:

Statement under 37 CFR 1.97(e), or

a \$180.00 fee under 37 CFR 1.17(p), or

(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)

under 37 CFR 1.97(d) together with a:

Statement under 37 CFR 1.97(e), and

a \$180.00 fee set forth in 37 CFR 1.17(p).

(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

The relevance of the attached references is that this is the closest art of which Applicant is aware. Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,



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Patrick J.S. Inouye, Esq.  
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Reg. No. 40297

Date: **MAY 21 2002**

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PTO/SB/08A (10-01)

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Substitute for form 1449A/PTO				<i>Complete if Known</i>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				Application Number	10/042,402
				Filing Date	1/7/2002
				First Named Inventor	Bardy
				Art Unit	3762
				Examiner Name	Unassigned
				Attorney Docket Number	003.0254.01
Sheet	1	of	2		

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
*(use as many sheets as necessary)*

Sheet

1

of

2

***Complete if Known***

<b>Application Number</b>	10/042,402
<b>Filing Date</b>	1/7/2002
<b>First Named Inventor</b>	Bardy
Art Unit	3762
Examiner Name	Unassigned
Attorney Docket Number	003-0251-01

Attorney Docket Number 003.0254.01

## **U.S. PATENT DOCUMENTS**

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Figures Appear.

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## **FOREIGN PATENT DOCUMENTS**

**Examiner  
Signature**

Date Considered

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<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).<sup>4</sup>See Japan Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or IPER 901.04.

document under WIPO Standard ST.16 if possible. "Applicant is to place a check mark here if English language Translation is attached.  
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		Filing Date	1/7/2002
		First Named Inventor	Bardy
		Group Art Unit	3762
		Examiner Name	Unassigned
Sheet	2	of	2
		Attorney Docket Number	
		003.0254.01	

**OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

Examiner Signature		Date Considered	
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**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

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U.S. Patent Application entitled: "System And Method For Providing Diagnosis And Monitoring  
Of Congestive Heart Failure For Use In Automated Patient Care"

Applicant: G.H. Bardy

Serial No.: 10/042,402

Filed: January 7, 2002

Please stamp and confirm receipt in the U.S. Patent & Trademark Office of the following:

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- Transmittal of Information Disclosure Statement (Under 37 CFR 1.97(b))
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1 Other Documents
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Attorney Docket No.: 003.0254.01

Date Mailed:

Attorney: Patrick J.S. Inouye  
Reg. No. 40,297

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b>		<b>Application Number</b>	10/042,402
		<b>Filing Date</b>	January 7, 2002
		<b>First Named Inventor</b>	Bardy, Gust
		<b>Group Art Unit</b>	3762
		<b>Examiner Name</b>	Unassigned
<b>Total Number of Pages in This Submission</b>		<b>Attorney Docket Number</b>	003.0254.01

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<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
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## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Law Offices of Patrick J.S. Inouye
Signature	
Date	MAY 21 2002

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